



 **Objective** KEYSTONE

**A fast and efficient document and reporting solution for Investment Managers**

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**Objective**

**We know sometimes you need to produce investment reports and disclosures quickly while ensuring accuracy and compliance with regulatory obligations.**

**Valuable time and resources can be absorbed drafting, reviewing, collating, and manipulating data to produce volumes of documents and web content.**

**An effective solution used by many Investment Management organisations is Objective Keystone. A proven approach for providing all your reporting and disclosure needs under one platform.**



## Efficiency combined with ‘audit-proofed’ governance

A high proportion of investment disclosure and reporting content can be common across multiple investment options or have minor variations. However, traditional update practices run the risk of inconsistently or incorrectly applied updates per document. Not with Objective Keystone – you can centrally manage common content or data and reuse it across multiple documents.

Review and approval processes are often circuitous, involving the management and collaboration of numerous parties with conflicting requirements. By defining the ownership of document content or data to specific responsible owners, combined with workflows to assign and drive activity, executing the review and approval process is a breeze.

Collecting and maintaining documentation from various stakeholders and sources to prove due diligence has been appropriately and wholly completed is onerous and poses a business risk over the longer term. Using Objective Keystone’s verification feature with your internal or external parties, collects and centralises all records of who did what, when and who approved the content. Once the process is completed, substantive evidence can be produced in a report.

### Objective Keystone - Investment Management disclosure process



# A popular solution for Investment Managers

Objective Keystone has been designed to support the needs of Financial Services Organisations in the authoring, collaborative approval and verification of high volumes of regulated documents and reporting. The goal is to drive business efficiencies through robust governance practices, innovation and automation.

**Content library** - Common content is maintained in content libraries referred to as 'Clause Banks'. Disclosure documents can reuse these consistent clauses across various documents, which dramatically reduces the authoring, review, and approval effort. In this way, common content is updated once but used many times.

**Managing investment data** - Make collecting and reporting investment data and charts as simple or as sophisticated as you like. Use Objective Keystone's metadata capabilities to:

- 1. Drive content variations.** No need to manage multiple content clauses that have minor variations between them. Simply use conditional rules or dynamic fields to automatically inject varying information into your reports.
- 2. Prepare and report data to the required standards.** Either import Microsoft Excel workbooks or implement seamless data integration using our APIs to manage the transfer of information.

**Process visibility and tracking** - The workflow engine enables automated task assignment and activity tracking throughout the entire process, from the initial kick-off meeting to final approval. As a result, document managers know exactly the status of every document, and can more easily manage both documents and stakeholders.

**Digital due diligence** - Objective Keystone verification capability allows you to:

- Collect** all approvals for each piece of content or artefact. This includes substantiation for each element and specific attestations for each stakeholder group.
- Report** on the due diligence process by publishing content or document level reports. This provides a comprehensive record with a complete list of all the individuals that have approved each piece of content, or individuals approving whole documents.
- Protect** your due diligence process - when previously verified content is edited, Objective Keystone verification 'breaks'. You'll be alerted by a document watermark confirming there's unverified content in your documents and it's not ready to go-live – completion of due diligence is needed first.

**Centralised governance** - Objective Keystone maintains a comprehensive audit log of every action as well as all versions of documents and supporting disclosure artefacts.

**Market-ready collateral production** – Allows you to be in control of how quickly your documents and reports are ready for release to your distribution network. When your content has been verified, Objective Keystone's publishing engine produces your documents or reports on brand and is formatted as a print or web ready PDF, HTML or XML.



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I don't think disclosure could ever go back to the way it used to be before Objective Keystone.

**Melissa Jones,**  
Senior Consultant, Transform Consulting



[Watch the Transform case study video ▶](#)

## Benefits at a glance



**200+**  
Investment tables  
updated instantly



Deliver new documents  
easily and efficiently

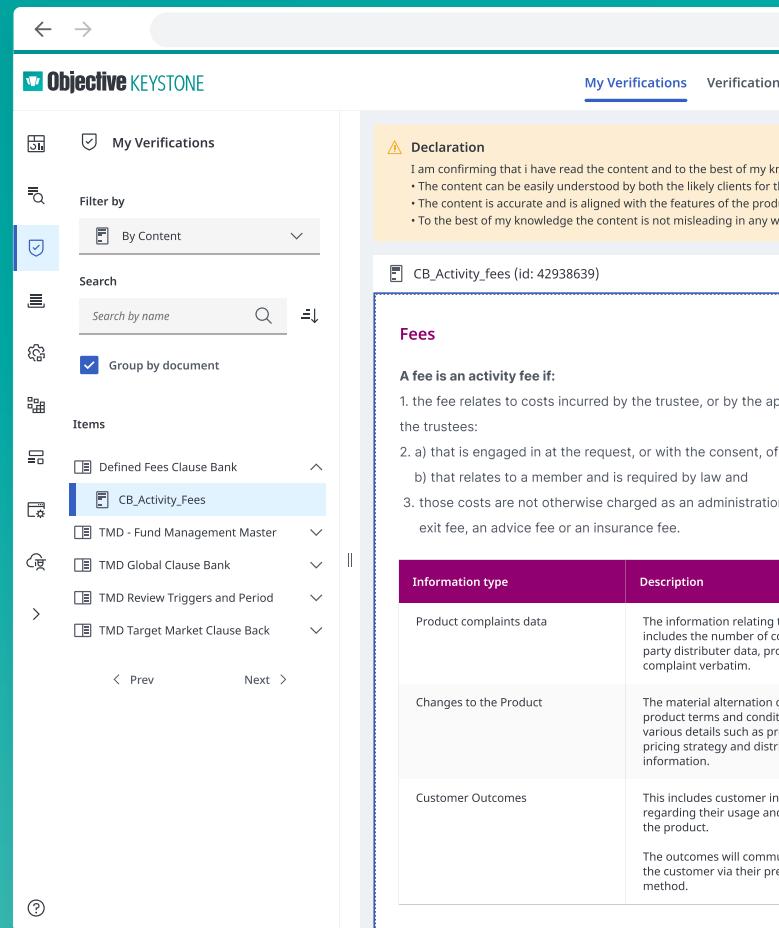


Governance around the  
entire due diligence  
process

# Ready to optimise your investment disclosure and reporting?

Please contact Innovation at [objective@innovation.is](mailto:objective@innovation.is) for a discovery session and to learn how Objective Keystone can save you time, costs and improve compliance.

 Book a discovery session



The screenshot shows the Objective KEYSTONE software interface. The left sidebar is titled 'My Verifications' and includes sections for 'Filter by' (set to 'By Content'), 'Search' (with a search bar), and 'Group by document' (checked). The main list under 'Items' shows several clause banks: 'Defined Fees Clause Bank', 'CB\_Activity\_Fees' (which is selected and highlighted in blue), 'TMD - Fund Management Master', 'TMD Global Clause Bank', 'TMD Review Triggers and Period', and 'TMD Target Market Clause Back'. Below the list are 'Prev' and 'Next' navigation buttons. The right panel shows a detailed view for 'CB\_Activity\_fees (id: 42938639)'. It includes a 'Declaration' section with a warning icon and text confirming readability and accuracy. Below this is a 'Fees' section with a sub-section 'A fee is an activity fee if:' listing three conditions. The right panel also contains a table with columns 'Information type' and 'Description' for 'Product complaints data', 'Changes to the Product', and 'Customer Outcomes'.

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## About Innovation.is

Innovation is transforming businesses globally. We have managed delivery of meaningful outcomes for clients in financial services since 1987. We focus on business structuring, actuarial, risk, governance, product, transformation, and change.

Our expertise in delivering and optimising in regulated environments means we can reduce operational costs and create meaningful change for people, processes, technology and data.

[www.innovation.is](http://www.innovation.is)